

Information Update – Governance & Audit Ethics Hotline Summary Report

To: Chair and Board of Directors
Through: President/CEO Inez Evans
From: Deputy of Governance & Audit Brian Atkinson
Date: September 16, 2021

GOVERNANCE & AUDIT ETHICS HOTLINE SUMMARY REPORT

Ethics Hotline Summary Report

Quarter Activity Summary: 7/01/21 through 9/30/21

| Method of Submission | Number of Reports |
|----------------------|-------------------|
| Website | 0 |
| Phone call | 0 |
| Other | 0 |
| Total | 0 |

| Current Period Potential Reported Issues | Number |
|--|----------|
| Ethics Violations | 0 |
| Other Issues | |
| Waste or Inefficiency | 0 |
| Fraud | 0 |
| Policy Violation | 0 |
| Employee Conduct/Abuse | 0 |
| Theft | 0 |
| Customer Service | 0 |
| HR Issues | 0 |
| Total | 0 |

| Current Status | Total | 1-30 days | 31-60 days | 60+ days |
|------------------------|----------|-----------|------------|----------|
| Preliminary Assessment | 0 | | | |
| Investigation | 0 | | | |
| Completed | 0 | | | |
| Total Claims | 0 | | | |

| 12-Month Activity Summary beginning Q4, 2020 | | | | | | | | | | | |
|--|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| | | | | | | | | | | | |
| 2 | 1 | 0 | 2 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 |
| Oct-20 | Nov-20 | Dec-20 | Jan-21 | Feb-21 | Mar-21 | Apr-21 | May-21 | Jun-21 | Jul-21 | Aug-21 | Sep-21 |

RECOMMENDATION:

Receive the report.

Brian Atkinson
Deputy of Governance & Audit